



001 Rec'd PCT/PTO 04 OCT 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: KLAUS HABIK, ET AL.  
SERIAL NO.: 10/544,182  
FILED: August 2, 2005  
FOR: Security Paper and Method for the  
Production Thereof

CONFIRMATION NO: 8333  
GROUP ART UNIT: To Be Determined  
EXAMINER: To Be Determined  
ATTY. REFERENCE: HABI3001/JEK

COMMISSIONER OF PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The below identified communication(s) or document(s) is(are) submitted in the above application or proceeding:

- ☒ Notice of Missing Requirements
- ☒ Declaration
- ☒ Check in the Amount of **\$130.00** (Late Surcharge Filing Fee - \$130)
- ☒ Please debit or credit **Deposit Account Number 02-0200** for any deficiency or surplus in connection with this communication.

10/06/2006 GFREY1 00000122 10544182  
01 FC:1617 130.00 0P

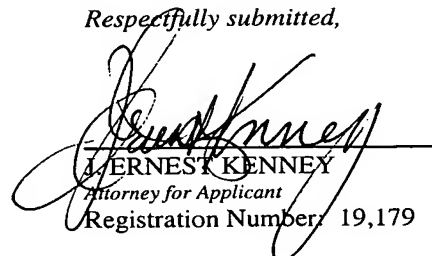
23364

CUSTOMER NUMBER

BACON & THOMAS, PLLC  
625 Slaters Lane- Fourth Floor  
Alexandria, Virginia 22314  
(703) 683-0500

Date: October 4, 2006

Respectfully submitted,

  
ERNEST KENNEY  
Attorney for Applicant  
Registration Number: 19,179



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/544,182	Klaus Habik	HABI3001/JEK

23364  
 BACON & THOMAS, PLLC  
 625 SLATERS LANE  
 FOURTH FLOOR  
 ALEXANDRIA, VA 22314

Date 9/15/06 Atty JEK  
 Action Due Missing Reg. Fee.  
 Deadline 11/13/06  
 Final Deadline 4/13/07  
 Based on \_\_\_\_\_

INTERNATIONAL APPLICATION NO.	
PCT/EP04/00973	
I.A. FILING DATE	PRIORITY DATE
02/03/2004	02/11/2003

CONFIRMATION NO. 8333

371 FORMALITIES LETTER



\*OC000000020406820\*

Date Mailed: 09/13/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 08/02/2005
- English Translation of the IA filed on 08/02/2005
- Copy of the International Search Report filed on 08/02/2005
- Copy of IPE Report filed on 08/02/2005
- Preliminary Amendments filed on 08/02/2005
- Information Disclosure Statements filed on 08/02/2005
- Oath or Declaration filed on 08/02/2005
- Request for Immediate Examination filed on 08/02/2005
- Copy of references cited in ISR filed on 08/02/2005
- U.S. Basic National Fees filed on 08/02/2005
- Priority Documents filed on 08/02/2005
- Power of Attorney filed on 08/02/2005
- Non-English Language Application filed on 08/02/2005
- Specification filed on 08/02/2005
- Claims filed on 08/02/2005
- Abstracts filed on 08/02/2005
- Drawings filed on 08/02/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does

not comply with 37 CFR 1.497(a) and (b) in that it:

- is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/544,182	PCT/EP04/00973	HABI3001/JEK